IMPORTANT CONFIDENTIALITY NOTICE

The documents accompanying this telecopy transmission contain confidential information belonging to the sender which is legally protected. The information is intended only for the use of the individual or entity named below. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this telecopied information is strictly prohibited. If you have received this telecopy in error, please immediately notify us by telephone (collect) to arrange for return of the telecopied document to us.

FACSIMILE TRANSMITTAL SHEET AND CERTIFICATE OF TRANSMISSION UNDER 37 CFR 1.8

FAX RECEIVED

DEC 2 1 2001

GROUP 1600

TO: Examiner A. Pulliam - United States Patent and Trademark Office

Fax No. (703) 305-3592

Phone No. (703) 308-4710

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on <u>December 12,</u> 2001, to the above-identified facsimile number.

(Signature)

FROM: (Typed or printed name of person signing Certificate) Andrea L. Winslow

OFFICIAL

Fax No. (513) 622-3300

Phone No. (513) 622-1822

Listed below are the item(s) being submitted with this Certificate of Transmission:

- 1) Amendment Under 37 CFR 1.111 (Response to Office Action dated 9/24/01)
- 2) Fee Transmittal Sheet

3)

4)

5)

Number of Pages Including this Page: 8

Inventor(s): Douglas J. Dobrozsi

S.N.: 09/361,542

Filed: July 27, 1999

Case: 7247M

Comments:

TOTAL AMOUNT OF PAYMENT

PTO/SB/17 (10/00)
Approved for Prough 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL								
for FY 2001								
Patent fees are subject to annual revision.								

(\$)00.00

Complete If Known						
Application Number	09/361,542 FAX RECEIVED					
Filing Date	July 27, 1999					
First Named Inventor	Douglas J. Dobrozsi DEC 2 1 2001					
Examiner Name	A. Pulliam					
Group/Art Unit	1615 GROUP 1600					
Attorney Docket No	7247M					

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
the state of the state of the sharp indicates	ated 3. Al	DITION	AL FEE	3	UIIIUIAI	
. [X] The Commissioner is hereby authorized to charge indicate	Large	Entity	Şmali	Entity		-
fees and credit any over payments to:	Fee	Fee	Fee	Fee	Fee Description Fee	Pald
Deposit Account Number 16-2480 Deposit Account Name The Procter & Gamble Company	Code	(\$)	Code	(5)	Surcharge - late filing fee or oath	0
	105	130	205	65	Surcharge - late ning les of dath Surcharge - late provisional filing	n
X] Charge Any Additional Fee [] Applicant claims small enti	y 127	50	227	25	fee or cover sheet	
Required Under status. See 37 CFR §127	1	420	139	130	Non-English specification	0
37 C.F.R. §§1,18 and 1.17	139	130			For filing a request for ex parte	ñ
2. [] Payment Enclosed:	147	2,520	147	2,520	reexamination	U
. U Paymant Enclosed.		0004	400	920*	Requesting publication of SIR prior	Ð
[] Check [] Credit Card [] Money Order [] Other	112	920*	112	920	to Examiner's action	-
Il cliery il cleat cale il literal acces.		4.046*	442	1,840*	Requesting publication of SIR after	П
FEE CALCULATION	113	1,840*	113	1,040	Examiner's action	-
			246	55	Extension for reply within 1 st month	ō
1. BASIC FILING FEE	115	110	215 216	195	Extension for reply within 2 nd month	Ď
Large Entity Small Entity	116	400	217	445	Extension for reply within 3rd month	ă
Fee Fee Fee Fee Description Fee Pal		920	217	695	Extension for reply within 4th month	Ď
Code (\$) Code (\$) _	118	1,390	228	945	Extension for reply within 5th month	ă
101 710 201 355 Utility filing fee []	128	1,890	219	155	Notice of Appeal	Ŏ
106 320 206 160 Design filing fee	119	310 310	220	155	Filing a brief in support of an appeal	ā
107 490 207 245 Plant filing fee	120	270	221	135	Request for oral hearing	ō
108 710 208 355 Reissue filing fee	121	1,510	138	1.510	Petition to institute a public use proceeding	ñ
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	0
SUBTOTAL (1) (\$)[]			241	620	Petition to revive - unintentional	П
2. EXTRA CLAIM FEES	141	1,240 1,240	242	620	Utility issue fee (or reissue)	ō
Fee From	142	440	243	220	Design Issue fee	ā
Extra Claims Below Fee		60D	244	300	Plant Issue fee	0
Total Claims	144	130	122	130	Petitions to the Commissioner	ñ
Independent Claims 0 - 3** = 0 × 0 = 0	123	50	123	50	Petitions related to provisional applications	ō
Millippie Departions D. H.		240	126	240	Submission of IDS	0
or number previously paid, if greater, For Relssues, see below	581	40	581	40	Recording each patent assignment	Ō
note non-product	361		30 1	75	per property (times number of properties)	-
Large Entity Small Entity For For For For For For Description	146	710	246	355	Filing a submission after final rejection	0
100 100	'"	, , •			(37 CFR § 1.129(8))	_
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	149	710	249	355	For each additional invention to be	0
102 80 202 40 Independent claims in excess	s of 3				examined (37 CFR §1.129(b)	_
104 270 204 135 Multiple dependent claim, if	179	710	279		Request for Continued Examination (RCE)	D
paid	169	710	249	355	Request for expedited examination	0
109 80 209 40 Reissue independent clain	:S				of a design application	_
over original patent	Oth	er fee (sp	ecify) _			0
110 18 210 9 **Reissue claims in excess	f 20					_
and over original patent	Oth	er lee (sp	ecify) _		μ	D
•	1			_		_
SUBTOTAL (2) (\$)[]	j • R	educed b	y Basic	: Filing <u>F</u>	ee Paid SUBTOTAL(3) (\$)	0

SUBMITTED BY					Complete (if applicable)	
Name (Print/Type)	Andrea L. Winslow	Registration No. (Attorney/Agent)	48,586	Telaphone	(513) 622-1822	
	andrea S. W	Date	Decamber 20, 2001			

WARNING: Intermetten on this form may become public. Creats Card Information should not be included on the form. Provide or ordst card information and authorization on PTO-201s. Included on the form. Provide ordst card information and euthorization on PTO-201s.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will very depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Tradement Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

I hereby certify that this correspondence is being sent via facalmile to: Assistant Commissioner For Patents, Washington, D.C. 2023 on December 20, 2001

Andrea Wittelow
Name of Attempty
Signature of Attempty

P&G Case 7247M

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

DOUGLAS J. DOBROZSI

Serial No. 09/361,542

Croup Art Unit 1615

Filed July 27, 1999

Examiner A. Pulliam

For: ORAL LIQUID MUCOADHESIVE COMPOSITIONS

AMENDMENT UNDER 37 C.F.R. §1.111

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

.:

In response to the Office Action of September 24, 2001, please enter the following amendments and consider the following remarks in support of patentability. The Commissioner is authorized to charge any necessary fees to Deposit Account No. 16-2480; however, Applicant believes that no fee is due at this time.

IN THE CLAIMS

Please cancel Claim 1-12, 24, 26 and 28 and add the following new claims:

Claim 30 (new). A method of providing a mucoadhesive coating to mucosa of the esophagus, stomach and small intestine by swallowing a mucoretentive composition comprising colloidal silica.

Claim 31 (new). The method of claim 30 wherein the mucoretentive composition further comprises a safe and effective amount of a pharmaceutical active selected from the group consisting of gastrointestinal agents, analgesics, decongestants, expectorants, antitussives and antihistamines.

1